

# Advisor/Consultant Fee Summary



**YOUR PLAN**

**Plan Name:** Sample Operating Company

**Advisor:** TRK Consulting, Inc.

**Contact Name:** Tom Kmak4

**Plan Assets:** \$8,267,226

## 1 Start with the Benchmark Group Fee Ranges

### Benchmark Group:

- Assets: \$8,000,000 - \$9,000,000
- Plans in Benchmark Group: 1,360

### Fees for an Advisor/Consultant with Standard Services

25<sup>th</sup>: 0.230%  
50<sup>th</sup>: 0.250%  
75<sup>th</sup>: 0.350%

## 2 Add Adjustments for Above Standard Services

**FEEPOINT**® is the value of non-standard service levels received by the plan.

### Above Standard Services:

- Model Fiduciary Status | 3(21)
- Benchmarked fees/value reasonableness – Hours | +4 Hours
- Met with plan committee – Hours | +4 Hours
- Supported/provided participant group meetings – Hours | +4 Hours
- Reviewed and evaluated Plan features – Hours | +2 Hours

### FEEPOINT® Adjustments

0.068%  
0.008%  
0.008%  
0.008%  
0.004%

**Total** 0.096%

## 3 Compare your Fee to the Custom Benchmark Group

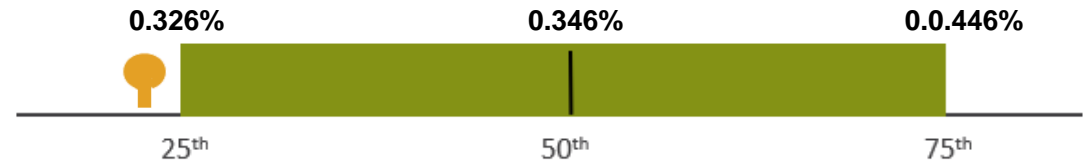
“Don’t consider fees in a vacuum. They are only one part of the bigger picture including investment risk and returns and the extent and quality of services provider.”

Source: A look at 401(k) Plan Fees, U.S. Department of Labor, October 2023

### Your Plan Fee:

 **0.300%**

### Custom Benchmark Group



Custom Benchmark Group fees includes FEEPOINT® adjustments

## 4 Also Consider the Quality, Service & Value of Your Advisor/Consultant

### Quality, Service and Value:

- The People, Processes and Technology of your Advisor/Consultant
- Plan Complexity And Plan/Participant Processing Experience
- Value to you as Plan Sponsor
- Value to Participants

What is Your Advisor/Consultant’s Quality, Service & Value worth? \*

\* FDI’s Value and Fee Reasonableness with FEEPOINT® Calculation Report can help you quantify your Advisor/Consultant’s Quality, Service & Value using its patented method. FDI suggests you do so at least every 5 years.



## Nature of Report and FDIs Role

- This report was prepared solely by Fiduciary Decisions (FDI) with data provided by the various Service Providers for your plan. FDI has provided the report to support the review of your plan's fees and services.
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## Information Disclaimer

- The information in this report is based upon data received from (1) you and your agents and Service Providers regarding your retirement plan and the investment options offered thereunder ("Subject Plan") and (2) plan sponsors of other retirement plans that have certain similarities to your plan and their agents and Service Providers ("Benchmark Group").
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- This report was prepared as of the date shown on the cover and the data used in this report generally has been updated within 90 days of the report date. However, data is received from various sources and at different times. In addition, a lot of the information in the report is time-sensitive. Over time, different data will be available to FDI and enhancements may be made to the methodology and report and thus results may vary with each report generated. FDI is under no obligation to monitor or update this report in the future unless expressly engaged to do so. FDI may modify the content of the report at any time in its sole discretion.
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## Methodology

- This report is based on the methodology utilized by FDI to gather, compile and present information. You should review the description of this methodology in the page titled Fiduciary Decisions' Evaluation Process in order to understand the approaches taken by FDI in preparing this report in order to properly evaluate the report and the information in the report. FDI may modify its methodology to gather, compile and present information at any time in its sole discretion as well as modify the content of the report at any time in its sole discretion.
- FEEPOINT® is a market-based proprietary estimate of the fee for the Advisor/Consultant, Recordkeeper, or TPA. Note that FEEPOINT® consists of two parts. The first part is a base fee estimated by using mathematical models that examine highly predictive fee variables such as plan assets or average account balance. The second part is a variable fee for "extra credit" items due to extra work/services/meetings or fiduciary status that are not typical for plans in the Benchmark Group. "Extra Credit" can be submitted in either hard dollar expenditures or hours. If hours are used, FDI requests the specific resource doing the work and allows the Service Provider to choose an hourly rate. Your Service Providers use the following hourly rates: Advisor/Consultant: \$200, Advisor/Consultant Staff: \$50, Recordkeeper/TPA RM: \$150, Recordkeeper/TPA staff: \$50.
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